



1744 #4 9/12  
PATENT 06/18/03

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*Kristen M. Geraci*  
Kristen M. Geraci

Applicant : Charles M. Heldebrant, et al.  
Application No. : 10/080,836  
Filed : February 22, 2002  
Title : MICRODISPERSION TREATMENT OF A PROTEIN  
OR PHARMACEUTICAL  
Grp./Div. : 1744  
Examiner : To be assigned  
Docket No. : 42195/JWP/A97

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INFORMATION DISCLOSURE STATEMENT  
37 CFR § 1.97(b)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
February 24, 2003

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

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Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By *John W. Peck*  
John W. Peck, Ph.D.  
Reg. No. 44,284  
626/95-9900

JWP/kmg  
Enclosures: PTO/SB/08A/B, w/references  
KMG IRV1063001.1.\*-2/24/03 2:11 PM

FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B

FEB 27 2003

# INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number

42195/JWP/A97

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Applicant(s)

Charles M. Heldebrant, et al.

Group Art Unit

1744

Examiner Name

To be assigned

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## U.S. PATENT DOCUMENTS

GROUP 1700

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - kind code <sup>2</sup> . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,658,530	08-19-1997	Dunn
		5,768,853	06-23-1998	Bushnell et al.
		5,786,598	07-28-1998	Clark et al.
		5,900,211	05-04-1999	Dunn et al.
		5,925,885	07-20-1999	Clark et al.
		6,013,918	01-11-2000	Bushnell et al.

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)

## OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		WEST, "Absorption of Electromagnetic Radiation," McGraw-Hill Multimedia Encyclopedia of Science of Technology, 1998, pp. 1-13, The McGraw-Hill Companies, Inc.

EXAMINER  
SIGNATURE

DATE  
CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

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